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Alexandria, VA 22313-1450, on February 6, 2004

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

  
Amelia Weintraub

Attorney Docket No. 60-002234US  
Client Reference No. 0086.CNUS04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Monto Hiroshi Kumagai, et al.

Application No.: Not Yet Known

Filed: February 6, 2004

For: CYTOPLASMIC INHIBITION OF  
GENE EXPRESSION

Examiner: Terry Alan McKelvey

Art Unit: 1636

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97 and  
§ 1.98

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

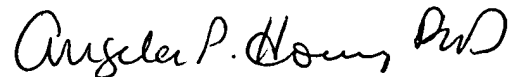
The references cited on the attached PTO-1449 form are being called to the attention of the Examiner to make of record references cited in parent application USSN 10/103,450 filed March 20, 2002. Pursuant to 37 CFR § 1.98(d), copies of references cited in parent application USSN 10/103,450 filed March 20, 2002 are not provided. However the applicants will gladly provide fresh copies of any references requested by the Examiner.

It is respectfully requested that the cited information on the attached 1449 form(s) be expressly considered during the prosecution of this application, and that references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in cursive script that reads "Angela P. Horne, Ph.D." with a stylized flourish at the end.

Angela P. Horne, Ph.D.  
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APH:db

Substitute for form 1449A-B/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)	Complete if Known	
	Application Number	<del>10/103,450</del> Not Yet known
	Filing Date	<del>March 20, 2002</del> February 6, 2004
	First Named Inventor	M into Hiroshi Kumagai
	Group Art Unit	1636
	Examiner Name	Terry Alan McKelvey
	Attorney Docket Number	<del>60-002233US</del> 60-002234US
Date Submitted	<del>February 27, 2003</del> February 6, 2004	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	AA	5,316,930		Loesch-Fries et al.	05/31/1994	
	AB	5,316,931		Donson et al.	05/31/1994	
	AC	5,922,602		Kumagai et al.	07/13/1999	

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Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T	
	AD	Helene et al., Biochim. Et Biophys. Acta, 1990, vol. 1049, pp. 99-125		
	AE	French et al., Science, vol. 231, pp. 1294-1297		
	AF	Brisson et al., Expression of a bacterial gene in plants by using a viral vector, Nature, vol. 310, August 9, 1984		
	AG	Lehto et al., Virology, 1990, vol. 175, pp. 30-40.		
	AH	Stein et al., Science, Aug. 20, 1993, vol. 261, pp. 1004-1011.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COPY FROM PARENT

not yet known

LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 60-002234US 8129-088-933 60-002233US	SERIAL NO. 10/103,450 08/200,546
	APPLICANT Kumagai et al.	
	FILED DATE March 20, 2002 June 10, 1994 February 6, 2004	GROUP NHA-1636

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE
	AA	5,107,065	April 21, 1992	Anti-Sense Regulation of Gene Expression in Plant Cells			
	AB	5,231,020	July 27, 1993	Genetic Engineering of Novel Plant Phenotypes			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AC	WO 93/03161	February 18, 1993	PCT				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AD	Rothstein, Steven J. et al. "Stable and heritable inhibition of the expression of nopaline synthase in tobacco expressing antisense RNA". <u>Proceedings of the National Academy of Sciences, USA</u> 84:8439-8443 (1987).
	AE	Smith, C.J.S. et al. "Antisense RNA inhibition of polygalacturonase gene expression in transgenic tomatoes". <u>Nature</u> 334: 724-726 (1988).
	AF	Jorgensen, Richard. "Altered gene expression in plants due to <i>trans</i> interactions between homologous genes". <u>Tibtech</u> , Vol 8: 340-344 (1990).
	AG	Grierson, Don et al. "Does co-suppression of sense genes in transgenic plants involve antisense RNA?" <u>Tibtech</u> Vol. 9: 122-123 (1991). duplicate (see pg 7)
	AH	Bird, Colin R. et al. "Using Antisense RNA To Study Gene Function: Inhibition Of Carotenoid Biosynthesis In Transgenic Tomatoes". <u>Bio/Technology</u> , Vol 9: 636-639 (1991). duplicate (page 7)
	AI	Bartley, Glenn E. et al. "Molecular cloning and expression in photosynthetic bacteria of a soybean cDNA coding for phytoene desaturase, an enzyme of the carotenoid biosynthesis pathway". <u>Proceedings of the National Academy of Sciences, USA</u> 88: 6532-6536 (1991). duplicate (page 7)
	AJ	Bartley, Glenn E. et al. "A Tomato Gene Expressed during Fruit Ripening Encodes an Enzyme of the Carotenoid Biosynthesis Pathway". <u>The American Society for Biochemistry and Molecular Biology, Inc.</u> Vol. 267, No. 8: 5036-5039 (1992). duplicate (pg 7)

	AK	Donson, J. et al. "Systemic expression of a bacterial gene by a tobacco mosaic virus-based vector". <u>Proceedings of the National Academy of Sciences, USA</u> , Vol. 88: 7204-7208 (1991).
	AL	Packer, Iris et al. "A single polypeptide catalyzing the conversion of phytoene to $\beta$ -carotene is transcriptionally regulated during tomato fruit ripening". <u>Proceedings of the National Academy of Sciences, USA</u> Vol. 89: 4962-4966 (1992).
	AM	Gray, Julie et al. "Molecular biology of fruit ripening and its manipulation with antisense genes". <u>Plant Molecular Biology</u> 19: 69-87 (1992).
	AN	Scolnik, Pablo and Bartley Glenn E. "Phytoene Desaturase from <i>Arabidopsis</i> ". <u>Plant Physiology</u> 103: 1475 (1993).
	AO	Giullano, Giovanni et al. "Regulation of Carotenoid Biosynthesis during Tomato Development". <u>The Plant Cell</u> , Vol. 5:379-387 (1993).
	AP	Dogbo, Odette et al. "Carotenoid biosynthesis: Isolation and characterization of a bifunctional enzyme catalyzing the synthesis of phytoene". <u>Proceedings of the National Academy of Sciences, USA</u> 85: 7054-7058 (1988).
	AQ	van der Krol, Alexander R. et al. "An anti-sense chalcone synthase gene in transgenic plants inhibits flower pigmentation". <u>Nature</u> 333: 866-869 (1988).
EXAMINER		DATE CONSIDERED
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		

COPY FROM PARENT

Not Yet Known  
 SERIAL NO. 10/103,450  
~~007200,540~~

## SUPPLEMENTAL LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 60-002234US  
~~8129-086-990 60-002233US~~

APPLICANT

Kumagai *et al.*

FILING DATE March 20, 2002  
~~June 16, 1994~~ February 6, 2004

GROUP

~~1805~~ 1636

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AR	WO A 91 13994	19 Sept 1991	PCT				
	AS	WO A 91 13078	5 Sept 1991	PCT				
	AT	WO A 91 09128	27 June 1991	PCT				
	AU	EP A 0 425 004	2 May 1991	EPO				
	AV	WO A 90 12107	18 Oct 1990	PCT				

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	AW	Kumagai, <i>et al.</i> , 1995, "Cytoplasmic inhibition of carotenoid biosynthesis with virus-derived RNA," <u>Proc. Nat. Acad. Sci. USA</u> <u>92(5)</u> :1679-1683
	AX	Powers <i>et al.</i> , 1994, "Intracellular Immunization of Mosquito Cells to LaCrosse Virus Using a Recombinant Sindbis Virus Vector," <u>Virus Research</u> <u>32(1)</u> :57-67
	AY	Misawa <i>et al.</i> , 1993, "Functional expression of the <i>Erwinia uredovora</i> carotenoid biosynthesis gene <i>ctrl</i> in transgenic plants showing an increase of beta-carotene biosynthesis activity and resistance to the bleaching herbicide norflurazon," <u>The Plant Journal</u> <u>4(5)</u> :833-840
	AZ	Bramley <i>et al.</i> , 1992, "Biochemical Characterization of Transgenic Tomato Plants in Which Carotenoid Synthesis has been Inhibited Through the Expression of Antisense RNA to pTOM5," <u>The Plant Journal</u> <u>2(3)</u> :343-349
	BA	Hayes <i>et al.</i> , 1988, "Gene amplification and expression in plants by a replicating geminivirus vector," <u>Nature</u> <u>334</u> :179-182

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

PTO FORM 1449

ATTY. DOCKET NO.

60-0022334US  
60-0022334US  
00001-00000-0001

APPLICATION NO.

Not Yet known  
10/103,450  
00/266,576

APPLICANT

Monto H. Kumagai, et al.

FILING DATE

February 6, 2004  
March 20, 2002  
March 9, 1999

GROUP

1636

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,539,093	09/23/96	Fitzmaurice, et al.	536	23.2	06/16/94

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	2.	EP 00 12 7988	10/15/01	European Search Report			X	
	3.	<del>WO 00/42107</del>	<del>10/18/90</del>	<del>PCT duplicate (ref. AV, page 4)</del>			X	
	4.	WO 90/12084	10/18/90	PCT			X	
	5.	<del>WO 90/13078</del>	<del>09/05/91</del>	<del>PCT duplicate (ref. AS, page 4)</del>			X	
	6.	<del>WO 91/00428</del>	<del>06/27/91</del>	<del>PCT duplicate (ref. AT, page 4)</del>			X	
	7.	<del>WO 91/13994</del>	<del>09/19/91</del>	<del>PCT duplicate (ref. AR, page 4)</del>			X	
	8.	<del>WO 93/03161</del>	<del>02/18/93</del>	<del>PCT duplicate (ref. AC, page 2)</del>			X	
	9.	WO 93/23551	11/25/93	PCT			X	
	10.	<del>EP 0425004A</del>	<del>05/02/91</del>	<del>Europe duplicate (ref. AU, page 4)</del>			X	

## OTHER REFERENCES

(Including Author, Title, Date, Pertinent Pages, Etc.)

	11.	Bramley, et al., "Biochemical characterization of transgenic tomato plants in which carotenoid synthesis has been inhibited through the expression of antisense RNA to pTOM5", <i>The Plant Journal</i> , 2(3):343-349 (1991)
	12.	Fray and Grierson, "Identification and genetic analysis of normal and mutant phytoene synthase genes of tomato by sequencing, complementation and co-suppression", <i>Plant Molecular Biology</i> , 22:589-602 (1993)
	13.	Hayes, et al., "Gene amplification and expression in plants by a replicating geminivirus vector", <i>Nature</i> , 334:179-182 (1988) duplicate (ref. BA page 4)
	14.	Kumagai, et al., "Cytoplasmic Inhibition of Carotenoid Biosynthesis with Virus-Derived RNA", <i>Proc. Natl. Acad. Sci. USA</i> , 92:1670-1683 (1995) duplicate (ref. AW, page 4)
	15.	Misawa, et al., "Functional expression of the <i>Erwinia uredovora</i> carotenoid biosynthesis gene <i>cr1</i> in transgenic plants showing an increase of $\beta$ -carotene biosynthesis activity and resistance to the bleaching herbicide quinclorazon", <i>The Plant Journal</i> , 4(5):833-840 (1993) duplicate (ref. AY, page 4)
	16.	Powers, et al., "Intracellular immunization of mosquito cells to LaCrosse virus using a recombinant Sindbis virus vectors", <i>Virus Research</i> , 32:57-67 (1994) duplicate (ref. AX, page 4)

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<p>LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)</p> <p>PTO FORM 1449</p> <p>COPY FROM PARENT</p>	<p>ATTY. DOCKET NO. <u>60-002234US</u>  <del>60-002233US</del>          008040086US91</p>	<p>APPLICATION NO. <u>10/103,450</u>  <del>08/260,546</del></p>
	<p>APPLICANT          Monto KUMAGAI, et al.</p>	
	<p>FILED DATE <u>February 6, 2004</u>  <u>March 20, 2002</u>  <del>June 16, 1994</del></p>	<p>GROUP  <u>1636</u>  <del>1605</del></p>

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,107,065	04-21-1992	Shewmaker, et al.			
	<del>5,231,020</del>	<del>07-27-1993</del>	<del>Jorgensen, et al.</del>			duplicate (see AB page 2)

## FOREIGN PATENT DOCUMENTS

			COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
		WO 91/13994	09-19-1991	PCT		
		<del>WO 93/03101</del>	<del>02-10-1993</del>	<del>PCT</del>	<del>duplicate of AC, page 2</del>	

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	Bartley, et al., "A Tomato Gene Expressed during Fruit Ripening Encodes an Enzyme of the Carotenoid Biosynthesis Pathway," <i>Biol. Chem.</i> <u>267</u> (8):5036-5039 (1992)
	Bird, et al., "Using Antisense RNA to Study Gene Function: Inhibition of Carotenoid Biosynthesis in Transgenic Tomatoes," <i>Bio/Technology</i> <u>9</u> :635-639 (1991)
	Dawson, et al., "Regulation of Tobamovirus Gene Expression," <i>Adv. Virus Res.</i> <u>38</u> :307-341 (1990)
	Dawson, W.O., et al., "cDNA cloning of the complete genome of tobacco mosaic virus and production of infectious transcripts," <i>Proc. Natl. Acad. Sci. USA</i> <u>83</u> :1832-1836 (1986)
	<del>Dawson, et al., "Systemic expression of a bacterial gene by a tobacco mosaic virus-based vector," <i>Proc. Natl. Acad. Sci. USA</i> <u>88</u>:7204-7208 (1991)</del> duplicate (AK page 3)
	<del>Gray, et al., "Molecular biology of fruit ripening and its manipulation with antisense genes," <i>Plant Mol. Bio.</i> <u>19</u>:69-87 (1992)</del> duplicate (AM, page 3)
	Grierson, et al., "Does co-suppression of sense genes in transgenic plants involve antisense RNA?" <i>Trends in Biotech.</i> <u>9</u> :122-123 (1991)
	Kumagai, et al., "Rapid high-level expression of biologically active $\alpha$ -trichosanthin in transfected plants by an RNA viral vector," <i>Proc. Natl. Acad. Sci. USA</i> <u>90</u> :427-430 (1993)

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not Yet known

<p>LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)</p> <p>PTO FORM 1449</p> <p>COPY FROM PARENT</p>		<p>ATTY. DOCKET NO. <u>60-002234US</u> <del>60-002233US</del> 008010086US01</p>	<p>APPLICATION NO. <u>07/103,450</u> <del>06/200,546</del></p>
		<p>APPLICANT Monto KUMAGAI, et al.</p>	
		<p>FILING DATE <u>February 6, 2004</u> <del>March 20, 2002</del> June 16, 1994</p>	<p>GROUP <u>1636</u> 1805</p>
		<p>Mol, et al., "Regulation of plant gene expression by antisense RNA," <i>FEBS Lett.</i> <u>268</u>:427-430 (1990)</p>	
		<p>Napoli, C., et al., "Introduction of a Chimeric Chalcone Synthase Gene into Petunia Results in Reversible Co-Suppression of Homologous Genes in trans," <i>Plant Cell</i> <u>2</u>:279-289 (1990)</p>	
		<p><del>Pecker, et al., "A single polypeptide catalyzing the conversion of phytoene to <math>\zeta</math>-carotene is transcriptionally regulated during tomato fruit ripening," <i>Proc. Natl. Acad. Sci. USA</i>, <u>89</u>:4962-4966 (1992) — duplicate (pg 2, ref AL)</del></p>	
		<p><del>Rolhstein, et al., "Stable and heritable inhibition of the expression of nopaline synthase in tobacco expressing antisense RNA," <i>Proc. Natl. Acad. Sci. USA</i> <u>84</u>:8430-8443 (1987) (duplicate/AD pg 2)</del></p>	
		<p><del>Seelnick and Bartley, "Phytoene Desaturase from Arabidopsis," <i>Plant Physiol.</i> <u>103</u>:1475 (1993) duplicate (AN pg 3)</del></p>	
		<p><del>Smith, et al., "Antisense RNA inhibition of polygalacturonase gene expression in transgenic tomatoes," <i>Nature</i> <u>334</u>:724-726 (1988) — (duplicate/AE)</del></p>	
		<p>Van der Krol, A.R., et al., "An anti-sense chalcone synthase gene in transgenic plants inhibits flower pigmentation," <i>Nature</i> <u>333</u>:866-869 (1988)</p>	
		<p>Van der Krol, A.R., et al., "Modulation of Eukaryotic Gene Expression by Complementary RNA or DNA Sequences," <i>BioTechniques</i> <u>6</u>(10):958-976 (1988)</p>	
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			

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PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for form 1449A-B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	<b>Complete if Known</b>	
	Application Number	<del>10/103,450</del> Not Yet known
	Filing Date	<del>March 20, 2002</del> February 6, 2004
	First Named Inventor	Monto Hiroshi Kumagai
	Group Art Unit	1636
	Examiner Name	T rry Alan McKelvey
	Attorney Docket Number	<del>60-002233US</del> 60-002234US
Date Submitted	<del>September 2, 2003</del> February 6, 2004	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T	
	1	AOYAGI et al., "Nucleotide sequence of the tobacco mosaic virus (tomato strain) genome and comparison with the common strain genome," J.Biochem., 96(6):1915-23; 1984.		
	2	International Committee on Taxonomy of Viruses (ICTV) "00.071.0.01 Tobamovirus: Tobacco mosaic virus (TMV)" Pages 1-5. <a href="http://www.ictvdb.rothamsted.ac.uk/ictv/fs_tobam.htm">http://www.ictvdb.rothamsted.ac.uk/ictv/fs_tobam.htm</a> .		
	3	X02144: Tobacco Mosaic virus tomato strain; gi:62128.		

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<b>Notice of References Cited</b> <span style="font-size: 1.5em;">(892)</span>	Application/Control No. Not yet known 10/103,450		Applicant(s)/Patent Under <del>Reexamination</del> Examination KUMAGAI ET AL.	
	Examiner Terry Mckelvey		Art Unit 1636	Page 1 of 1

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,231,020	07-1993	Jorgensen et al,	435/172.3
	B	US-5,316,931	05-1994	Donson et al.	435/172.3
	C	US-5,922,602	07-1999	Kumagai et al,	435/468
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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	P					
	Q					
	R					
	S					
	T					

## NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Aurora Fraile et al, A classification of the tobamoviruses based on comparisons among their 126K proteins, Journal of General Virology 1990, 71. 2223-2228.
	V	Julie Gray et al, Molecular biology of fruit ripening and its manipulation with antisense genes, APlant Molecular Biology 19: 69-87. 1992.
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.